Master of Finance

**School of Finance and Business SHNU**

Finance（021500）

**Orientation**

The two-year full time graduate program provides an understanding of financial management principles and their application to corporate financial policy and security analysis. Graduates of the degree will understand the framework of international and Chinese financial markets; knowledge of security analysis and investments; appropriate technical skills essential for a career in financial practice or academic research; as well as Chinese language and business culture. .

**Concentration Options**

Students may choose from one of the two tracks offered at the time of application. Courses are offered in different fields. Students mustconcentratein a particular field and fulfill the program requirements.

Options Ⅰ：Corporate Finance- Targeted on advisory and strategic planning roles in companies and financial institutions.

Options Ⅱ：Investment Analysisand Management - Focused on financial modeling and risk management, investment portfolio analysis as well as fund management

**Qualifications for receiving a diploma**

The Master of Financerequires 37 minimum credits.

--5 credits of two Public Courses

* Elementary Chinese
* Business Chinese

--12 credits of 4 Basic Degree Courses

* Financial Statement Analysis
* Finance Theory and Policy
* Corporate Finance
* Financial Derivatives

--At least 16 credits of Selective Courses

* Business Statistics
* Financial modeling
* Statistical Analysis and Software Application(SPSS)
* Financial Law and Regulations
* Financial Intermediaries Operation and Management
* Advanced Financial Risk Management
* Case Study of Mergers and Acquisitions
* FinancialMarketing
* Financial Market and Financial Institutions
* Fund Investment and Management
* Fixed Income securities
* Advanced Portfolio Management
* International Investment

--4 credits of internship (over three-month length)

**Instruction Method and Grading**

The program consists of three parts:lectures, practitioner courses, internship, as well as thesis. Students will study under the guidance of mentors.

Each course will have an exam at the end of the term. For degree course, grading falls into 5 levels, that isExcellence, Good, Average,Pass, Fail. For non-degree courses, there are only two levels, Pass or Fail. If you fail a course, you may have a make -up exam, the final grade will be recorded as Pass or Fail. Graduate students may repeat any course. Only the second grade will be included in the computation of cumulative GPA.

**Thesis**

To graduate with a master’s degree at the University of SHNU, students are required to submit a thesis.

### Thesis Proposal

Thesis proposal should be guided by your professor or advisor, and it is a significant part of the program requirement. The purpose of the Thesis Proposal is to cement the progress of students toward the eventual Thesis, and help students develop writing and presentation skills.

The Thesis Proposal is a compulsory step. It normally takes place during the second semester, and should be completed no later than the end of the third semester.A student presenting a Thesis Proposal will prepare a journal-quality document describing ongoing research and potential extensions, and deliver a talk describing the work. A thesis committee made up of three to five faculty members from the school will be convened for the purpose of reviewing this document and the presentation . This committee, under normal circumstances, will serve at the eventual Thesis Defense. The thesis proposal should describe work that has reached a perceptible level of maturity, and make a convincing case that the work will lead to a dissertation. An unsatisfactory proposal will need a reviewby the advisor and Department Chair.

### Thesis Defense

When the dissertation is complete, there is a final examination(Oral Defense) by a faculty committee. Prior to application for the final examination, the dissertation must be approved by the sponsor and a faculty reader.

The student will open the oral defense with a brief presentation of his or her findings, after whichthe members of the thesis committee will question the candidate in an order determined by theadvisor. Questions dealing with the substance, meaning and usefulness of the research in thethesis are of greatest priority.

A student passes the oral defense and becomes a “candidate” for the degree if he or she passeswith no more than 1/3 dissenting vote. For those who fail the oral defense,a second chance will be given within one year.

The writing and oral defense of the thesis will be in English. After the defense, the thesis committee will make a final and overall evaluation upon both:

(a) thequality and significance of his or her thesis, and

(b) the oral defense of his or her findings.

**Diploma and Degree Certificate**

Qualifications for receiving a diploma:

-- complete all required courses and earn enough course credits

-- complete the graduation thesis and pass the thesis defense

-- meet all the above requirements within 2-3 years

Qualifications for receiving a degree certificate:

-- meet the requirements for receiving a diploma

-- have no more than one degree course graded D- or lower

-- have no more than two courses graded D- or lower

-- have a cumulative GPA of 2.0 or higher

**Courses Schedule（2018）**

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| School | | | School of Finance and Business | Subject/Major | | | Finance（021500） | | | | | |
| Concentration Options | | | 1.Corporate Finance；2. Investment Analysis and Management | | | | | | | | | |
| Course Categories | | Name of Courses | | credits | Class Hours/week | Total hours | Term Schedule | | | | Teacher | Final Exam |
| 1 | 2 | 3 | 4 |  |  |
| Compulsory Courses | Public Courses | Elementary Chinese | | 3 | 3 | 48 | 3 |  |  |  | LianhuaZong | Test |
| Business Chinese | | 2 | 2 | 32 |  | 2 |  |  | Le Zhao | Test |
| Subtotal | | **5** | **5** |  |  |  |  |  |  |  |
| Basic Degree Courses | Financial Statement Analysis | | 3 | 3 | 48 |  | 3 |  |  | Zhan Xia Wu | Test |
| Finance Theory and Policy | | 3 | 3 | 48 | 3 |  |  |  | Xiao Dong Wu | Test |
| Corporate Finance | | 3 | 3 | 48 | 3 |  |  |  | Hui Li | Test |
| Financial Derivatives | | 3 | 3 | 48 | 3 |  |  |  | Pang tao |  |
| Subtotal | | **12** | **12** |  |  |  |  |  |  |  |
| Elective Courses | Business Statistics | | | 2 | 2 | 32 | 2 |  |  |  | Yi Fu/Wang |  |
| Financial modeling | | | 2 | 2 | 32 | 2 |  |  |  | Min Zhu |  |
| Statistical Analysis and Software Application(SPSS) | | | 2 | 3 | 32 |  | 3 |  |  | Min Zhu |  |
| Financial Law and Regulations | | | 2 | 2 | 32 | 2 |  |  |  | XiaoyeBaoWeng |  |
| Financial Intermediaries Operation and Management\* | | | 2 | 2 | 32 | 2 |  |  |  | Xueyan Xing |  |
| Advanced Financial Risk Management | | | 2 | 2 | 32 |  | 2 |  |  | Linowskidirk |  |
| Case Study of Mergers and Acquisitions\* | | | 2 | 2 | 32 |  | 2 |  |  | Eric |  |
| FinancialMarketing\* | | | 2 | 2 | 32 |  | 2 |  |  | Baohua Yang |  |
| Financial Market and Financial Institutions | | | 2 | 3 | 48 | 3 |  |  |  | Guoyan Huang |  |
| Fund Investment and Management\* | | | 2 | 2 | 32 |  | 2 |  |  | XiaolingPu |  |
| Fixed Income securities | | | 2 | 2 | 32 | 2 |  |  |  | Tao pang |  |
| Advanced Portfolio Management | | | 2 | 2 | 32 |  | 2 |  |  | Tao pang |  |
| International Investment | | | 2 | 3 | 48 | 3 |  |  |  | Xulijun |  |
| Subtotal | | | **16** | **16** |  |  |  |  |  |  |  |
| Internship and Thesis | | Internship | |  |  |  |  |  |  | **4** |  |  |
| Thesis Proposal | |  |  |  |  |  | **√** | **√** | Evaluation |  |
| Thesis Writing and Defense | |  |  |  |  |  |  | **√** | Thesis Defense |  |
| Make-up Courses | | Principles of Accounting | |  |  |  |  |  |  |  | The noneconomicsmajor students need to make up when he/she starts this program. | |
| Principles of Economics | |  |  |  |  |  |  |  |
| The Economics of Money,Banking&Financial Markets | |  |  |  |  |  |  |  |

Note: For all the elective courses, the form of final exam is determined by the teacher who gives the class.The Master of Financerequires 37minimum credits, 5 credits of two Public Courses, 12 credits of Basic Degree Courses, and at least 16 credits of Elective Courses，4 credits internship (over 3-month length). Students who have no prior working experience are required to have internship no less than six months.

For Make-up courses, students should study by themselves according to the reference list provided by school and attend the following exam.